



# Lotus Eye Hospital And Institute

(Formerly Lotus Eye Care Hospital Limited)

CIN No. : L85110TZ1997PLC007783



770/12, Avinashi Road, Civil Aerodrome Post, Coimbatore - 641 014. Tel : 0422 - 4229900, 4229999 Fax : 0422 - 4229933

## R.S. PURAM

155B, East Periasamy Road, Near Chinthamani,  
North Coimbatore, R.S. Puram,  
Coimbatore - 2. Phone : 0422 - 4239900, 4239999

## METTUPPALAYAM

No. 28, Coimbatore Main Rd,  
Opp. Bus Stand, MTP - 634 301.  
Phone : 04254 - 223223, 224224

## TIRUPUR

No. 5(2) Gajalakshmi Theatre Road (Backside)  
Near Valarmathi Bus Stop, Tirupur - 641 601.  
Phone : 0421 - 4346060, 4219999

## SALEM

86, Brindhavan Road, Fairlands  
Salem - 636 004.  
Ph. : 0427 - 4219900, 4219999

E-mail : [info@lotuseye.org](mailto:info@lotuseye.org)

Website : [www.lotuseye.org](http://www.lotuseye.org)

31<sup>st</sup> July, 2020

<b>National Stock Exchange of India Ltd.</b> Listing Department, Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400051 <b>Scrip Code: LOTUSEYE</b>
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<b>BSE Limited</b> The Corporate Relationship Department 1 <sup>st</sup> Floor, New Trading Wing, Rotunda Building, phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400001 <b>Scrip Code: 532998</b>
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Dear Sir/Madam,

**Sub: - Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2020.**

**Ref: Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI Circular No. CIR/CFD/CMDI/27/2019 dated February 08, 2019, we hereby submit the Annual Secretarial Compliance Report for the year ended 31st March, 2020 issued by M/s P. Eswaramoorthy and Company, Coimbatore. This is for your information and records.

This is for your information and record please.

Thanking You,

Yours faithfully,

For Lotus Eye Hospital and Institute Limited

CS Aakanksha Parmar  
Company Secretary and Compliance Officer



## Kochi

533/33A-33F, Tejas Tower  
SA Road, Kadavanthara,  
Kochi, Kerala - 682 020.  
Tel. : 0484 - 2322333, 2322444

*Always for you*

## Kochi

229A, Kurisingal House  
Mulanthuruty Post  
Kochi, Kerala - 682 314.  
Tel. : 0484 - 2743191, 2743121



# P. Eswaramoorthy and Company

Company Secretaries

 P. Eswaramoorthy B.Sc., LLB., FCS.,

## SECRETARIAL COMPLIANCE REPORT

OF

LOTUS EYE HOSPITAL AND INSTITUTE LIMITED

FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2020

I, P. Eswaramoorthy (FCS 6510, CP No 7069) proprietor of M/s.P.Eswaramoorthy and Company, Company Secretaries have examined:

- a) all the documents and records made available to us and explanation provided by Lotus Eye Hospital and Institute Limited ("the listed entity"),
- a) The filings/submissions made by the listed entity to the stock exchanges,
- b) website of the listed entity,
- c) any other document/filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of :
  - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), Rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



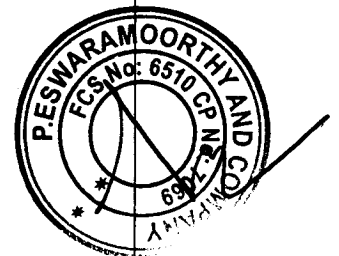
The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars / guidelines issued by various Authorities whatever applicable there under and based on the above examination, I hereby report that, during the aforesaid Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under wherever applicable, except in respect of matters specified below:

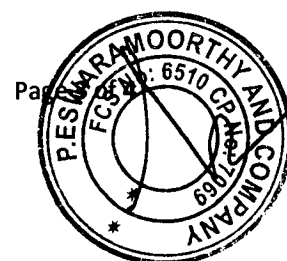
Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company
1	As per Regulation 23(9) of SEBI (LODR) Regulations, 2015, The listed entity shall submit within 30 days from the date of publication of its standalone and consolidated financial results for the half year, disclosures of related party transactions on a consolidated basis, in the format specified in the relevant accounting standards for annual results to the stock exchanges and publish the same on its website	The company has not been submitted the disclosures of related party transactions on a consolidated basis as required under the regulation 23(9) of SEBI (LODR) Regulations, 2015 during the aforesaid reporting period under review	Non-Compliance of Regulation 23(9) of SEBI (LODR) Regulations, 2015.



2	As per Regulation 31(2) of SEBI (LODR) Regulations, 2015, the listed entity shall ensure that Hundred percent of shareholding of promoter(s) and promoter group is in dematerialized form and the same is maintained on a continuous basis in the manner as specified by the Board	200 equity shares of the Companies promoter(s) group not been dematerialized, which are still in physical form. According to the information and explanation given to me, the Company is taking necessary steps for 100% dematerialization of Promoters and Promoters group shareholdings.	Non-Compliance of Regulation 31(2) of SEBI (LODR) Regulations, 2015.
3	As per Regulation 47(4) of SEBI (LODR) Regulations, 2015, Advertisement in the Newspaper shall be published in at least one English language national daily newspaper circulating in the whole or substantially the whole of India and in one daily newspaper published in the language of the region, where the registered office of the listed entity is situated.	The Company has not been published in the language of the region where the registered office of the listed entity is situated during the aforesaid reporting period under review.	Non-Compliance of Regulation 47(4) of SEBI (LODR) Regulations, 2015. According to the information and clarification given to me, advertisement was given in the regional language newspaper in English. It will be followed as per regulation in further periods.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my examination of those records.

(c) No actions were taken against the Listed Entity/its Promoters/Directors/either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder.



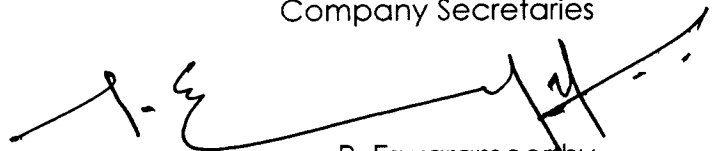
(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the period ended 31/03/2019	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Not Applicable	As per Regulation 31(2) of SEBI (LODR) Regulations, 2015, the listed entity shall ensure that Hundred percent of shareholding of promoter(s) and promoter group is in dematerialized form and the same is maintained on a continuous basis in the manner as specified by the Board	According to the information and explanation given to me, the Company is taking necessary steps for 100% dematerialization of Promoters and Promoters group shareholdings.	NIL

(e) There was no event of appointment/re-appointment/resignation of Statutory Auditor of the listed entity during the period under review. Further, the listed entity has modified the terms of appointment of its existing Statutory Auditor in compliance of the Para 6(A) and 6(B) of the SEBI Circular No.CIR/CFD/CMD1/114/2019 dated 18<sup>th</sup> October, 2019.

**P. ESWARAMOORTHY AND COMPANY**

Company Secretaries



P. Eswaramoorthy  
Proprietor

FCS No.: 6510, CP No.: 7069

UDIN : F006510B000526645

Date : 30/07/2020

Place : Coimbatore

